

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Masashi Goto et al.

Title:

DIELECTRIC FILM, ITS FORMATION METHOD,

SEMICONDUCTOR DEVICE USING THE DIELECTRIC

FILM AND ITS PRODUCTION METHOD

Application No.:

10/726,870

Filing Date:

2 December 2003

Examiner/Unit No.:

Dao H. Nguyen / 2818

Attorney Docket No.:

2204-011-03

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03/30/2007 CNGUYEN2 00000009 071897

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UNDER 37 CFR § 1.97 (as amended)

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In compliance with applicants and their attorney's duty of disclosure under 37 CFR § 1.56, applicants submit herewith patents, publications, or other information of which they are aware, and that may be considered material to the examination of this application.

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Because this submission falls under the provisions of 37 CFR 1.97(c), the Commissioner is hereby authorized to deduct \$180.00 from Deposit Account No. 07-1897, pursuant to 37 CFR 1.17(p).

Copies of the foreign documents and non-patent literature documents cited in the attached form PTO SB-8a and 8b are attached. Translations are not available of the Japanese Kokai patent publications.

Respectfully submitted,

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Complete if Known Substitute for form 1449A/PTO Application Number 10/726,870 INFORMATION DISCLOSURE 2 December 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Masashi Goto Art Unit 2818 (Use as many sheets as necessary) Examiner Name Dao H. Nguyen Sheet Attorney Docket Number 2204-011-03

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS					
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	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
	B1	JP 2001-102581	04/13/2001	KOKAI		
	B2	JP 2002-208592	07/26/2002	KOKAI		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/726,870 Filing Date 2 December 2003 First Named Inventor Masashi Goto Art Unit 2818 Examiner Name Dao H. Nguyen 2204-011-03 Attorney Docket Number

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NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of T 2 Cite the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner No.1 number(s), publisher, city and/or country where published. Initials * KATSUYUKI SEKINE ET AL., "Highly Reliable Ultrathin Silicon Oxide Film Formation at Low Temperature by Oxygen Radical Generated in High-Density Krypton Plasma", IEEE C₁ Transactions on Electron Devices; August 2001, Vol. 48, No. 8 C2

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